

FISHERMEN'S QUESTIONNAIRE
CERTAIN FROZEN WARMWATER SHRIMP AND PRAWNS FROM
INDIA AND THAILAND

Return completed questionnaire to:

UNITED STATES INTERNATIONAL TRADE COMMISSION
Office of Investigations, Room 615
500 E Street, SW, Washington, DC 20436

So as to be received by the Commission by no later than August 4, 2005

The information called for in this questionnaire is for use by the United States International Trade Commission in connection with its changed circumstances reviews concerning certain frozen warmwater shrimp and prawns from India and Thailand (invs. Nos. 751-TA-28-29). The information requested in the questionnaire is requested under the authority of the Tariff Act of 1930, title VII. This report is mandatory and failure to reply as directed can result in a subpoena or other order to compel the submission of records or information in your possession (19 U.S.C. § 1333(a)).

Name of firm _____
Address _____
City _____ State _____ Zip code _____
World Wide Web address _____

Has your firm harvested warmwater shrimp and prawns (as defined on page 4 in the instruction booklet) at any time since January 1, 2001?

☐

NO (Sign the certification below and promptly return only this page of the questionnaire to the Commission)

☐

YES (Read the instruction booklet carefully, complete all parts of the questionnaire, sign the certification, and return the entire questionnaire to the Commission)

CERTIFICATION

I certify that the information herein supplied in response to this questionnaire is complete and correct to the best of my knowledge and belief and understand that the information submitted is subject to audit and verification by the Commission.

By signing this certification I also grant consent for the Commission, and its employees and contract personnel, to use the information provided in this questionnaire and throughout this investigation in any other import-injury investigations conducted by the Commission on the same or similar merchandise. (If you do not consent to such use, please note the certification accordingly.)

I acknowledge that information submitted in this questionnaire response and throughout this investigation may be used by the Commission, its employees, and contract personnel who are acting in the capacity of Commission employees, for developing or maintaining the records of this investigation or related proceedings for which this information is submitted, or in internal audits and investigations relating to the programs and operations of the Commission pursuant to 5 U.S.C. Appendix 3. I understand that all contract personnel will sign non-disclosure agreements.

Name and Title of Authorized Official

Date

Signature of Authorized Official

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Phone

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Fax

PART I.--GENERAL QUESTIONS

The questions in this questionnaire have been reviewed with market participants to ensure that issues of concern are adequately addressed and that data requests are sufficient, meaningful, and as limited as possible. Public reporting burden for this questionnaire is estimated to average 20 hours per response, including the time for reviewing instructions, searching existing data sources, gathering the data needed, and completing and reviewing the questionnaire. Send comments regarding the accuracy of this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Office of Investigations, U.S. International Trade Commission, 500 E Street, SW, Washington, DC 20436.

- I-1. Please report below the actual number of hours required and the cost to your firm of preparing the reply to this questionnaire and completing the form.

_____ hours _____ dollars

- I-2. Provide the name and address of establishment(s) covered by this questionnaire (see page 3 of the instruction booklet for reporting guidelines). If your firm is publicly traded, please specify the stock exchange and trading symbol.

- I-3. Is your firm owned, in whole or in part, by any other firm?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Extent of ownership</u>
_____	_____	_____
_____	_____	_____

- I-4. Does your firm have any related firms, either domestic or foreign, which are engaged in importing certain frozen warmwater shrimp and prawns from India and/or Thailand into the United States or which are engaged in exporting certain frozen warmwater shrimp and prawns from India and/or Thailand into the United States?

☐ No ☐ Yes--List the following information.

<u>Firm name</u>	<u>Address</u>	<u>Affiliation</u>
_____	_____	_____
_____	_____	_____

PART I.--GENERAL QUESTIONS--Continued

I-5. Does your firm have any related firms, either domestic or foreign, which are engaged in the production of certain frozen warmwater shrimp and prawns ?

☐ No ☐ Yes--List the following information.

Firm name

Address

Affiliation

PART II.--TRADE AND RELATED INFORMATION

Further information on this part of the questionnaire can be obtained from Jim McClure (202-205-3191; james.mcclure@usitc.gov). **Supply all data requested on a calendar-year basis.**

II-1. Who should be contacted regarding the requested trade and related information?

Company contact: _____
Name and title

Phone No.

E-mail address

II-2. Since July 1, 2004, has your business added additional boats or other productive assets; had boats repossessed; lost or sold boats; lost fishing time because of labor shortages, equipment failure, or shortages of materials; or experienced any other change in the character of your operations relating to the harvesting of warmwater shrimp and prawns ?

☐ No ☐ Yes--Supply details as to the time, nature, and significance of such changes.

II-3. Please list any other fish or seafood products you harvested by the use of same boat in which you harvested warmwater shrimp and prawns, and provide the share of net sales accounted for by these other fish or seafood products in your most recent fiscal year:

Fish or seafood products

Share of sales

How did you allocate your employment data (e.g., sales)? _____

PART II.--TRADE AND RELATED INFORMATION--Continued

II-4. Please describe the constraint(s) that set the limit(s) on your harvesting capabilities

II-5. Since January 1, 2001, has your firm been involved in a toll agreement (see definition in the instruction booklet) regarding the harvesting of warmwater shrimp and prawns ?

☐ No ☐ Yes--Name firm: _____

II-6. Does your firm harvest warmwater shrimp and prawns in a foreign trade zone (FTZ)?

☐ No ☐ Yes--Identify FTZ(s): _____

II-7. Since January 1, 2001, has your firm imported certain frozen warmwater shrimp and prawns?

☐ No ☐ Yes--**COMPLETE AND RETURN THE ENCLOSED IMPORTERS' QUESTIONNAIRE**

II-8. Please report the number of shrimp boats your firm owns or operates: _____. Please report the data requested below concerning each of the shrimp boats your firm owns or operates:

Boat name	Length (in feet)	Nature of on-board processing (if any)	Location where boat is moored	Location of shrimp fisheries fished by boat	Is this boat fully operational now

II-9. Please describe any contracts or other legal relationships your firm may have with processors of certain frozen warmwater shrimp and prawns.

PART III.--FINANCIAL INFORMATION--Continued

- III-6. Operations on fresh warmwater shrimp and prawns.--Report the revenue and related cost information requested below on the fresh warmwater shrimp and prawn operations of your U.S. establishment(s). Provide data for your four most recently completed fiscal years in chronological order from left to right, and for the specified interim periods. **Quantities reported should be headless, shell on, using the National Marine Fisheries conversion factor of 0.629.**

(Quantity in pounds, value in dollars)						
Item	Fiscal years ended--				January-June	
	_____	_____	_____	_____	2004	2005
Net sales quantities ¹						
Sold to processors/docks						
Transferred to related processors/docks						
Other						
Net sales values ¹						
Sold to processors/docks						
Transferred to related processors/docks						
Other						
Operating expenses: ²						
Labor (i.e., crew share) ³						
Fuel and oil						
Groceries and ice						
Fishing gear						
Taxes and licenses (other than federal and state income taxes)						
Insurance						
Vessel repairs and maintenance (include labor) ³						
Interest						
Depreciation						
All other expenses						
Total operating expenses						
Corporation officers' or partners' salaries						
Net income or (loss) before income taxes						
Other items:						
Capital expenditures						
Total assets						

¹ Include only sales of warmwater shrimp and prawn operations. The quantities and values should approximate the shipment quantities and values reported in Part II of this questionnaire.

² Operating expenses related to warmwater shrimp and prawn operations only.

³ Include labor costs incurred specifically for maintenance and repairs in the "vessel repairs and maintenance" line and not in the labor (crew share) line.

PART III.--FINANCIAL INFORMATION--Continued

IF YOUR ANSWERS TO QUESTIONS II-8 AND III-9 ARE DIFFERENT FOR INDIA AND THAILAND, PLEASE RESPOND SEPARATELY FOR EACH COUNTRY.

III-8. Since July 1, 2004, has your firm experienced any actual negative effects on its return on investment or its growth, investment, ability to raise capital, existing development and production efforts (including efforts to develop a derivative or more advanced version of the product), or the scale of capital investments as a result of imports of certain frozen warmwater shrimp and prawns from India and/or Thailand?

☐ No

☐ Yes--My firm has experienced actual negative effects as follows:

Cancellation, postponement, or rejection of expansion projects

Denial or rejection of investment proposal

Reduction in the size of capital investments

Rejection of bank loans

Lowering of credit rating

Problem related to the issue of stocks or bonds

Other (specify) _____

III-9. If the antidumping duty orders on certain frozen shrimp and prawns from India and/or Thailand were removed (i.e., tariffs were lifted), does your firm anticipate any negative impact of imports of certain frozen shrimp and prawns from India or Thailand?

☐ No

☐ Yes--My firm anticipates negative effects as follows:

[illegible]